MINISTRY OF PLANNING AND INVESTMENT

SOCIALIST REPUBLIC OF VIETNAM Independence - Freedom - Happiness

No.16/2015/TT-BKHDT

Hanoi, November 18, 2015

CIRCULAR

REGULATIONS ON APPLICATION FORMS FOR INVESTMENT PROCEDURES AND REPORTING FORMS ON INVESTMENT IN VIETNAM

Pursuant to the Law No. 67/2014/QH13 on Investment dated November 26, 2014;

Pursuant to the Decree No. 118/2015/ND-CP on detailing and guiding the implementation of a number of articles of the Law on Investment dated November 12, 2015 of the Government;

Pursuant to the Decree No. 116/2008/ND-CP on functions, tasks, entitlement and the organizational structure of the Ministry of Planning and Investment dated November 14, 2008 of the Government;

At requests of the Director of the Foreign Investment Agency;

The Minister of Planning and Investment issues this Circular provides application forms for investment procedures and Vietnam's investment reporting forms.

Article 1. Scope

- 1. This Circular provides application forms for investment procedures and periodic reporting forms on the investment for investors and business organizations executing projects in Vietnam and State regulatory authorities in charge of managing the investment (hereinafter referred to as "State regulatory authority").
- 2. Application forms for project execution intra vires the National Assembly's Investment policy decision shall be made in accordance with the Government's regulations.

Article 2. Regulated entities

- 1. Investors and business organizations investing in Vietnam.
- 2. Investment Registration Authorities;
- 3. Competent State regulatory authorities;
- 4. Other relevant entities.

Article 3. Investment application forms and investment reporting forms

- 1. This Circular is issued together with the following forms:
- a) Application forms for investment procedures carried out by investors and business organizations executing projects in Vietnam presented in the Annex I.
- b) Application forms for investment procedures carried out by competent State regulatory authorities presented in the Annex II.
- c) Investment reporting forms for investors and business organizations executing projects in Vietnam presented in the Annex III.
- d) Investment periodic reporting forms for competent State regulatory authorities presented in the Annex IV.
- 2. Every entity shall comply with the form format and content as stipulated hereof.
- 3. Application forms for investment in Vietnam shall apply consistently nationwide. The Investment Registration Certificate shall be made in A4 size paper, and the blank form of the Investment Registration Certificate shall be prescribed and publicized on the National Foreign Investment Web Portal by the Ministry of Planning and Investment.
- 4. The signature of documents and investment application forms:

- a) With regard to investment in the form of business organizations: prior to the establishment of the business organization, the investor shall contribute his/her capital to the business organization that is in his/her name and sign documents and applications for the Investment Registration Certificate. After the establishment of the business organization, the investor shall sign documents, investment application and investment reports on the business organization behalf.
- b) With regard to investment in the form of capital contribution, share/stake acquisition: the investor and business organization that takes contributions, shares or stakes shall sign the applications for capital contribution or share/stake acquisition;
- a) With regard to investment in the form of BCCs: parties to BBCs shall sign documents and applications for Investment Registration Certificates.
- 5. Every investor specified in clause 4 of this Article shall initial each page of the application for the project execution and put his/her signature on the last page of such application.
- 6. For projects invested by Vietnamese investors, the investments shall be expressed in VND.

Article 4. Implementation

- 1. This Circular enters into force after 45 days from the date of signature.
- 2. This Circular shall replace the Decision No.1088/2006/QD-BKH on issuance of application forms for investment procedures in Vietnam dated October 19, 2006 of the Minister of Planning and Investment.
- 3. Any issue arising during the implementation of this Circular should be promptly reported to the Ministry of Planning and Investment./.

MINISTER

Bui Quang Vinh

LISTOF APPLICATION FORMS FOR INVESTMENT IN VIETNAM

No.	Application forms and applicable laws	Symbol	Page Number			
Annex I: Application forms (for investors)						
1	Application form for project execution (specified in Articles 33, 34, 35 and 37 of the Law on Investment)	Form I.1	4			
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3	Project proposal form(for projects other than those specified in the Decision on Investment Policies - clause 2, Article 37 of the Law on Investment)	Form I.3	11			
4	Application form for capital contribution, or acquisition of shares/stakes/contributions by foreign investors (specified in point a, clause 1, Article 26 of the Law on Investment)	Form I.4	14			
5	Application forms for establishment of executive offices (specified in point a, clause 4, Article 49 of the Law on Investment)	Form I.5	18			
6	Application form for adjustment to investment projects (for adjusted projects specified in the Investment Policies - Article 34, 35, 36, 37 of	Form I.6	20			

	the Decree 118/2015/ND-CP)				
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9	Application form for extension of the project schedule (for projects specified in clause 1, Article 46 of the Law on Investment)	Form I.9	27		
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11	Notice of termination of project execution (for projects prescribed in point a, b and c, clause 1, Articles 48 of the Law on Investment)	Form I.11	31		
12	Application form for replacement of the Investment Registration Certificate (specified in Article 61 of Decree 118/2015/ND-CP)	Form I.12	33		
13	Project progress reporting form (for foreign-invested business organizations free from undergoing the procedure for Investment Registration Certificates under clause 1, Article 23 and clause 5, Article 71 of the Law on Investment, and clause 2, article 45 of the Decree No. 118/2015/ND-CP)	Form I.13	36		
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16	Investment Registration Certificate (where the Investment Registration Certificate is adjusted -for adjusted projects specified in the Investment Policies under Article 34, 35, 36, 37 of the Decree 118/2015/ND-CP)	Form II.3	45		
17	Investment Registration Certificate (for the replacement of Certificates of Investment/Investment Licenses/Business Licenses prescribed in Article 61 of the Decree No. 118/2015/ND-CP)	Form II.4	48		
18	Agreement on deposit as security for project execution (Article 42 of the Law on the Law on Investment and 27 of the Decree 118/2015/ND-CP)	Form II.5	51		
19	Certificate of registration of executive office operations (<i>clause 4, Article 49 of the Law on Investment</i>)	Form II.6	53		
20	Application form for extension of project schedule (clause 4, Article 46 of the Law on Investment)	Form II.7	55		
21	Decision on termination of project operation (clause 2, Article 48 of the Law on and point c, clause 2, Article 41 of the Decree No. 118/2015/ND-CP)	Form II.8	57		
22	Notice of fulfillment of investment requirements for capital contribution, share acquisition, contributions repurchase by foreign investors (point b, clause 1, Article 26 of the Law on Investment)	Form II.9	59		
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Vietna	Vietnam					
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24	Quarterly progress report	Form 2	63			
25	Annual progress report	Form 3	65			
Annex	IV: Investment periodic reporting forms for State regulatory authorities	es.				
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36	The Ministry of Finance's annual report on FDI enterprises' import- export	Form 14	79			
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38	The Ministry of Labor, War Invalids and Social Affairs' annual report on the grant of work permits to foreign workers of foreign-invested business organizations	Form 16	81			
39	The Ministry of Environment and Natural Resources's annual report on land lease and land use by foreign-invested enterprises	Form 17	82			
40	The Ministry of Science and Technology's annual report on the conclusion and execution of agreements on technology transfer of foreign-invested enterprises.	Form 18	83			